# Outline of a Course in Field Theory (Expanded Version)

S. Kumaresan School of Math. and Stat. University of Hyderabad Hyderabad 500046 kumaresa@gmail.com

F stands for a field in the sequel.

# **1** Polynomial Ring F[x]

**Topics:** Reducible and irreducible; Various facts such as Euclidean domain, Irreducibility criterion such as Eisenstein's.

**Theorem 1** (Division Algorithm). Let F be a field, and let  $f \in [F[x]]$  be a nonzero polynomial with coefficients in F. Then given any polynomial  $g \in F[x]$ , there exist unique polynomials  $q, r \in F[x]$  such that g = fq + r with either r = 0 or deg  $r < \deg f$ .

**Corollary 2.** The polynomial ring F[x] is a PID.

**Definition 3.** Let  $f_1, \ldots, f_k \in F[x]$ . They are said to be *coprime* or *relatively prime* if a polynomial q divides each  $f_j$ , then q is a constant.

**Proposition 4.** Let  $f_j \in F[x]$ ,  $1 \le j \le k$ , be coprime. Then there exist  $g_j \in F[x]$ ,  $1 \le j \le n$ , such that

$$f_1(x)g_1(x) + \dots + f_k(x)g_k(x) = 1$$

**Definition 5.** A non-constant polynomial  $f \in F[x]$  is said to be *irreducible* over F if  $q \in F[x]$  divides, then q is a constant.

**Proposition 6.** Let  $f \in F[x]$  be irreducible. Let f divide gh where  $g, h \in F[x]$ . The either f divides g or f divides h.

**Theorem 7.** Let  $f \in F[x]$  be irreducible. Then the quotient ring F[x]/(f) is a field.

**Theorem 8** (Gauss Lemma). A polynomial  $f \in \mathbb{Z}[x]$  is irreducible over  $\mathbb{Q}$  iff it is irreducible in the ring  $\mathbb{Z}[x]$ , that is, it cannot be expressed as a product of polynomials in  $\mathbb{Z}[x]$  of lower degree.

**Theorem 9** (Eisenstein's Irreducibility Criterion). Let  $f = a_0 + a_1x + \cdots + a_nx^n \in \mathbb{Z}[x]$ . Let  $p \in \mathbb{N}$  be a prime. Assume that (i) p does not divide  $a_n$ , (ii) p divides  $a_j$ ,  $0 \le j \le n-1$ , and (iii)  $p^2$  does not divide  $a_0$ . Then f is irreducible over  $\mathbb{Q}$ .

**Ex. 10.** Extend the last theorem as follows. Let R be a ring, and P a prime ideal of R. Let  $f(x) = a_0 + a_1 x + \cdots + a_n x^n \in R[x]$ . Assume that (i)  $a_i \in P$  for  $0 \le i < n$ , (ii)  $a_n \notin P$  and (iii)  $a_0 \notin P^2$ , the product ideal. Then f is irreducible in R[x].

**Ex. 11.** Show that the polynomials (i)  $x^2 + 8x - 2$  and (ii)  $x^2 + 6x + 12$  are irreducible over  $\mathbb{Q}$ . Are they irreducible over  $\mathbb{R}$ ? Over  $\mathbb{C}$ ?

**Ex. 12.** This observation is needed when we want to transform a given polynomial into one to which Eisenstein criterion may be applied.

Let  $a \in R^*$  and  $b \in R$ , an integral domain. Then f(x) is irreducible in R[x] iff g(x) := f(ax + b) is irreducible in R[x].

Apply the transformation  $x \mapsto x + 1$  to establish th irreducibility of  $f(x) = x^4 + 4x^3 + 10x^2 + 12x + 7 \in \mathbb{Z}[x]$ .

**Ex. 13.**  $\Phi_p(x)$  is irreducible. The key observation is that  $\Phi_p(x) = \frac{x^{p-1}}{x-1}$ . Now look at  $g(x) = \Phi_p(x+1) = \sum_{r=0}^{p-1} {p \choose r} x^r$ . Eisenstein criterion applied to g yields the irreducibility of g.

**Ex. 14.**  $\Phi_{p^2}(x) := \frac{x^{p^2} - 1}{x^{p-1}}$  is irreducible. Apply the trick of the last exercise.

**Ex. 15.** Let R be an integral domain. Then  $f(x) = a_0 + \cdots + a_n x^n$  with  $a_0 \neq 0$  is irreducible over R iff the reciprocal polynomial  $\tilde{f}(x)$  defined by  $\tilde{f}(x) = x^n f(1/x) = a_0 x^n + a_1 x^{n-1} + \cdots + a_{n-1}x + a_n$  is irreducible over R.

Use this observation to prove the irreducibility of the following polynomials: (i)  $2x^4 + 4x^2 + 4x + 1$  and (ii)  $5x^7 + 4$ .

**Theorem 16** (Rational Roots Theorem). Let  $f(x) = a_n x^n + \cdots + a_0 \in \mathbb{Z}[x]$ . Assume that  $a_n a_0 \neq 0$ . If  $r/s \in \mathbb{Q}$  (in lowest terms) is a root of f(x), then  $r|a_0$  and  $s|a_n$ .

**Corollary 17.** If  $f(x) \in \mathbb{Z}[x]$  is monic, then any rational root must be an integer dividing  $a_0$ .

**Ex. 18.** Show that 3 is the only rational root of  $x^3 - 2x^2 - 2x - 3$ .

**Ex. 19.** Show that  $f(x) = x^5 + 9x^3 + 2$  has rational roots. Show that it has only one ral root in (-1, 0).

**Ex. 20.** Show that  $f(x) = x^3 + ax^2 + bx + 1 \in \mathbb{Z}[x]$  is reducible iff either a = b or a + b + 2 = 0.

**Ex. 21.** Show that  $x^4 + 2x^2 + 1 \in \mathbb{Q}[x]$  is irreducible. *Hint:* Use the rational roots theorem to show that it has no linear factors. Use Gauss lemma to show that if it were reducible, then the irreducible factors are quadratic, say,  $f(x) = (x^2 + ax + 1)(x^2 + bx + 1)$ . Compare the coefficients to arrive at equations which have no integer solutions.

**Ex. 22.** Show that  $f(x) = x^2 - 8x - 2$  is irreducible over  $\mathbb{Q}$ .

**Ex. 23.** Show that  $f(x) = x^3 + 3x^2 - 8$  is irreducible over  $\mathbb{Q}$ .

**Ex. 24.** Show that  $x^4 - 10x^2 + 1$  is irreducible in  $\mathbb{Q}[x]$ .

**Ex. 25.** Show that the polynomial  $x^2 + x + 1$  is irreducible in  $\mathbb{Z}_3[x]$ .

**Ex. 26.** Show that  $f(x) = 4x^3 - 3x + \frac{1}{2} \in \mathbb{Q}[x]$  is irreducible in two ways: one using the rational root theorem and the other applying Eisenstein criterion to  $f(\frac{1+x}{2})$ .

# 2 Extension of Fields

**Topics:** Algebraic element, minimal polynomial of an algebraic element, algebraic extension, degree of extension, finite extensions, tower theorem: [L : F] = [L : K][K : F], Kronecker's theorem, Adjunction of roots.  $K(\alpha) = K[\alpha]$  if  $\alpha$  is algebraic over K.

**Definition 27.** Let F be a field. An *extension* E/F is an imbedding of F into some field E, in other words, F is a 'subfield' of E, then we say that E is an extension of F and write it as E/F (read as extension field E over F).

Let E/F be an extension of F. Then E is a vector space over F in an obvious way. The *degree* of the extension, denoted by [E : F] or by |E : F| is by definition  $\dim_F E$ , the dimension of the vector space E over the underlying field F.

The extension E/F is *finite* if [E:F] is finite.

Let E/F be an extension. Let  $S \subset E$ . Then F(S) denotes the smallest subfield of E containing F and S. We then say that F(S) is the field obtained from F by *adjoining* S.

If  $S = \{\alpha_1, \ldots, \alpha_k\}$ , we denote F(S) by  $F(\alpha_1, \ldots, \alpha_k)$ .

A field extension E/F is said to be *simple* if  $E = F(\alpha)$  for some  $\alpha \in E$ .

**Example 28.** Let  $F = \mathbb{Q}$  and  $E = \mathbb{R}$  or  $E = \mathbb{C}$ . Then E/F is an extension, which are not finite extensions.

 $\mathbb{C}/\mathbb{R}$  is a simple extension.

**Example 29.** Let *E* be any field and *F* its prime subfield. Then E/F is an extension. (It may happen E = F!)

**Example 30.** Let F be any field and E := F(x), the field of rational functions on F. Then E/F is a simple extension.

**Example 31.** Let  $F := \mathbb{Q}$  and  $E := \mathbb{Q} + \sqrt{2}\mathbb{Q} := \{a + b\sqrt{2} : a, b \in \mathbb{Q}\} \subset \mathbb{R}$ . It is easy to check that E is a subfield of  $\mathbb{R}$  and that E/F is an extension. (What is the inverse of  $a + b\sqrt{2}$ ?)

**Theorem 32** (Tower Law). Let E/F and K/E be extension fields. Then the extension K/F is finite iff the extensions E/F and K/E are finite and we have [K:F] = [K:E][E:F].

**Proposition 33.** Let E/F be a simple extension, say,  $E = F(\alpha)$ . Then precisely, one of the following holds:

(i) There does not exist any nonzero-polynomial  $f \in F[x]$  with  $f(\alpha) = 0$ .

(ii) There exists a unique monic polynomial  $f \in F[x]$  of least degree with  $f(\alpha) = 0$ .

**Definition 34.** Let E/F be an extension and  $\alpha \in E$ . Then  $\alpha$  is said to be *algebraic* over F if there exists  $0 \neq f \in F[x]$  such that  $f(\alpha) = 0$ . The extension E/F is *algebraic* if each element  $\alpha \in E$  is algebraic over F.

An element  $\alpha \in E$  is *transcendental* over F if it is not algebraic over F.

**Proposition 35.** Any finite extension E/F is algebraic.

**Proposition 36** (Minimal polynomial of an algebraic element). Let E/F be an extension and  $\alpha \in E$  be algebraic over F. Then there exists a unique irreducible monic polynomial  $m_{\alpha} = m_{\alpha,F} = \min(\alpha, F) \in F[x]$  with the following property:  $f \in F[x]$  is such that  $f(\alpha) = 0$ , iff  $m_{\alpha}$  divides f.

**Definition 37.** The polynomial  $m_{\alpha}$  of the last proposition is said to be the *minimal polynomial* of  $\alpha$  over F.

**Theorem 38.** A simple extension  $F(\alpha)/F$  is finite iff  $\alpha$  is algebraic over F. Also, in such a case, we have  $[F(\alpha):F] = \deg m_{\alpha}$ .

**Corollary 39.** A field extension E/F is finite iff there exist  $\alpha_1, \ldots, \alpha_k \in E$  such that  $E = F(\alpha_1, \ldots, \alpha_k)$  and each  $\alpha_j$  is algebraic over F.

**Ex.** 40. Find the degree and a basis for the given field extension: (a)  $\mathbb{Q}(\sqrt{2},\sqrt{3})$  :  $\mathbb{Q}$ , (b)  $\mathbb{Q}(\sqrt{2},\sqrt{3}.\sqrt{18})$  :  $\mathbb{Q}$ , (c)  $\mathbb{Q}(\sqrt{2},\sqrt{3}2)$  :  $\mathbb{Q}$ , (d)  $\mathbb{Q}(\sqrt{2}\sqrt{3})$  :  $\mathbb{Q}$ , (e)  $\mathbb{Q}(\sqrt{2},\sqrt{3})$  :  $\mathbb{Q}(\sqrt{2}+\sqrt{3})$ , (f)  $\mathbb{Q}(\sqrt{2},\sqrt{6}+\sqrt{10})$  :  $\mathbb{Q}(\sqrt{3}+\sqrt{5})$ .

**Ex.** 41. Let  $p_1, \ldots, p_n$  be *n*-distinct positive prime numbers. Let  $F := \mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_n})$ . Let  $q_1, \ldots, q_r$  be distinct primes none of which appear in the list  $\{p_1, \ldots, p_n\}$ . Then  $\sqrt{q_1 \cdots q_r} \notin F$ .

**Ex.** 42. Let p and q be distinct primes. Show that  $\mathbb{Q}(\sqrt{p}, \sqrt{q})/Q$  is of degree 4. Using induction show that  $[\mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_n}) : \mathbb{Q}] = 2^n$ .

**Ex. 43.** Let E/F be a finite extension. Assume that R be a subring  $F \subset R \subset E$ . Show that R is a field.

**Ex. 44.** Show that a finite extension of prime degree is a simple extension.

**Ex. 45.** Let  $a, b \in \mathbb{Q}$ . Assume that  $\sqrt{a} + \sqrt{b} \neq 0$ . Show that  $\mathbb{Q}(\sqrt{a} + \sqrt{b}) = \mathbb{Q}(\sqrt{a}, \sqrt{b})$ .

**Ex. 46.** Show that  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + \sqrt{3})$ .

**Ex. 47.** Find the degrees of the following extensions: (i)  $\mathbb{Q}(\sqrt[3]{2}, i) : \mathbb{Q}$ , (ii)  $\mathbb{Q}(\sqrt{2}, \sqrt{3})/Q$ .

**Ex.** 48. Let  $\alpha \in \mathbb{C}$  be a root of the polynomial  $x^2 + x + 1 \in \mathbb{Q}[x]$ . Show that  $\alpha^2 - 1 \neq 0$  and that  $\frac{\alpha^2 + 1}{\alpha^2 - 1} \in \mathbb{Q}(\alpha)$  is  $\frac{1 + 2\alpha}{3}$ .

**Ex.** 49. Let  $a, b \in \mathbb{Q}$ . Find the minimal polynomial of  $a + b\sqrt{2}$ .

**Ex. 50.** Let E/F be an extension of degree 2. Show that  $E = F(\alpha)$  where  $\alpha \in E \setminus F$  is arbitrary element with deg min $(\alpha, F)$  is 2.

**Ex. 51.** Show that  $f(x) = x^3 + x + 1 \in \mathbb{Q}[x]$  is irreducible. Let  $\alpha \in \mathbb{C}$  be a root of f. Express  $1/\alpha$  as a polynomial in  $\alpha$ .

**Ex. 52.** (i) Show that  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + \sqrt{3})$ . (ii) Show that  $\{1, \sqrt{2}, \sqrt{3}, \sqrt{6}\}$  is a  $\mathbb{Q}$ -basis of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ . (iii) Show that  $\min(\sqrt{2} + \sqrt{3}, \mathbb{Q}) = x^4 - 10x^2 + 1$ .

**Ex. 53.** Keep the notation of the last exercise. (a) Show that  $\sqrt{3} \notin \mathbb{Q}(\sqrt{2})$ . (b) Find  $\min(\sqrt{3} + \sqrt{2}, \mathbb{Q}(\sqrt{3}))$ .

**Ex. 54.** Consider the extension  $\mathbb{C}/\mathbb{Q}$ . Find the minimal polynomial of the following elements: (i)  $\sqrt{2}$ , (ii)  $\sqrt{-1}$ , (iii)  $\sqrt{2} + \sqrt{3}$ , (iv)  $\zeta$ , a primitive root of unity where p is a prime and (v)  $\zeta_6$ , a primitive sixth root of unity.

**Ex. 55.** Given  $\alpha \in \mathbb{C}$ , find an  $f(x) \in \mathbb{Q}[x]$  such that  $f(\alpha) = 0$ . (a)  $1 + \sqrt{3}$ , (b)  $\sqrt{2} + \sqrt{3}$ , (c)  $\sqrt{1 + \sqrt[3]{2}}$  (d) 1 + i, and  $(e)\sqrt{\sqrt[3]{2} - i}$ .

**Ex. 56.** Let Char  $F \neq 2$ . Assume that  $E = F(\alpha, \beta)$  such that  $\alpha^2 = a \in F$  and  $\beta^2 = b \in F$  with  $a \neq b$ . Show that  $E = F(\alpha + \beta)$ .

**Ex. 57.** Let E/F be finite with |E:F| = n. Let  $p(x) \in F(x)$  be irreducible of degree m. Show that if m does not divide n, then p has no root in E.

**Ex. 58.** Let E/F be an extension and let  $\alpha \in E$  be algebraic over F. Show that the subfield  $F(\alpha) = \{p(\alpha) : p \in F[x]\}.$ 

**Ex. 59.** Let E/F be an extension with  $\alpha \in E$ . Show that the following are equivalent: (i)  $\alpha$  is algebraic over F.

(ii) The evaluation map  $p \mapsto p(\alpha)$  from F[x] to E has nonzero kernel.

(iii)  $F(\alpha)/F$  is a finite extension.

**Ex. 60.** Let  $F \leq E \leq K$  be fields. The extensions need not be finite. Show that K/F is algebraic iff K/E is algebraic and E/F is algebraic.

**Ex. 61.** Let  $F \leq E \leq K$  be a tower of fields. Let  $\alpha \in K$  be such that  $F(\alpha) : F$  is a finite extension. Show that  $|E(\alpha) : E| \leq |F(\alpha) : F|$ .

**Ex. 62.** Let E/F be an extension,  $\alpha_j \in E$ ,  $1 \leq j \leq n$  be algebraic over F. Show that  $F(\alpha_1, \ldots, \alpha_n)/F$  is a finite extension.

**Ex. 63.** Let E/F be an extension. Assume that  $\alpha, \beta \in E$  are algebraic over F. Show that  $\alpha \pm \beta$ ,  $\alpha\beta$  and  $\alpha/\beta$  (if  $\beta \neq 0$ ) are algebraic over F.

**Ex. 64.** Let E/F be an extension. Let  $\overline{F}$  be the set of all elements of E which are algebraic over F. Show that  $\overline{F}$  is a subfield of F. ( $\overline{F}$  is called the *algebraic closure* of F in E.)

Let  $\overline{\mathbb{Q}}$  stand for the algebraic closure of  $\mathbb{Q}$  in  $\mathbb{C}$ . Show that  $\overline{\mathbb{Q}}$  is not a finite extension of  $\mathbb{Q}$ .

**Ex. 65.** Let E/F be a finite extension. Assume that for any two subfields  $K_1, K_2$  of E either  $K_1 \subset K_2$  or  $K_2 \subset K_1$ . Show that E/F is a simple extension.

**Ex. 66.** Let  $E = F(\alpha)$  be algebraic over F with  $[F(\alpha) : F]$  being odd. Show that  $F(\alpha) = F(\alpha^2)$ .

**Ex. 67.** Let E/F be a finite extension of degree n. If F is finite with q elements, then E has  $q^n$  elements.

**Ex. 68.** Exhibit an irreducible degree 3 polynomial in  $\mathbb{Z}_3[x]$ . Hence conclude that there exists an field of 27 elements.

**Ex. 69.** Show that there exist finite fields of  $p^2$  elements for every prime  $p \in \mathbb{N}$ .

**Ex. 70.** Let  $\alpha \in E/F$  be transcendental over F. Show that any  $\beta \in F(\alpha) \setminus F$  is transcendental over F.

**Ex. 71.** Let E/F be an extension. Let  $\alpha, \beta \in E$ . Assume that  $\alpha$  is transcendental over F but algebraic over  $F(\beta)$ . Show that  $\beta$  is algebraic over  $F(\alpha)$ .

**Ex. 72.** Let  $\alpha, \beta$  be transcendental numbers. Which of the following are true?

- (a)  $\alpha\beta$  is transcendental.
- (b)  $\mathbb{Q}(\alpha)$  is isomorphic to  $\mathbb{Q}(\beta)$ .
- (c)  $\alpha^{\beta}$  is transcendental.
- (d)  $\alpha^2$  is transcendental.

**Ex. 73.** Let F be a finite field with prime characteristic p. Show that every element of F is algebraic over the prime field..

**Ex. 74.** Show that every finite field has  $p^n$  elements for some prime p.

**Definition 75.** Let E/F and K/F be two extensions of F. Then an F-homomorphism  $\theta$  is a field homomorphism  $\theta: E \to K$  such that  $\theta(a) = a$  for all  $a \in F$ .

An F-automorphism of E/F is an F-isomorphism of E onto itself.

The extensions E/F and K/F are said to be K-isomorphic if there exists an isomorphism  $\theta: E \to K$  which is also an F-homomorphism.

**Ex. 76.** Let E/F be an extension such that  $E = F(\alpha_1, \ldots, \alpha_k)$ . If an *F*-automorphism  $\theta$  of *E* leaves each of  $\alpha_j$ ,  $1 \le j \le k$  fixed, then show that  $\theta$  is the identity. Hence deduce that any two *F*-automorphism that agree on  $\alpha_j$ 's must be the same.

# 3 Splitting Fields and Normal Extensions

**Topics:** Definition of a splitting field of a polynomial, uniqueness, normal extensions, elements conjugate over a field F.

**Definition 77.** Let  $f \in F[x]$  and E/F be an extension. We say that f splits over E if either f is a constant polynomial or if there exist  $\alpha_1, \ldots, \alpha_n \in E$  such that  $f = c(x - \alpha_1) \cdots (x - \alpha_n)$  where  $c \in F$  is the leading coefficient of f.

The field E is said to be a *splitting field* of f over F if (i) f splits in E and (ii) f does not split in any proper subfield of E.

**Lemma 78.** Let E/F be an extension. Assume that  $f \in F[x]$  splits in E. Then there exists a unique subfield K of E such that K is a splitting field of f over F.

Given  $\sigma \colon K \to L$  be a homomorphism of fields, then we have a natural homomorphism  $\sigma_* \colon K[x] \to L[x]$  defined by

 $\sigma_*(a_0 + a_1x + \ldots + a_nx^n) = \sigma(a_0) + \sigma(a_1)x + \cdots + \sigma(a_n)x^n.$ 

**Theorem 79** (Kronecker). Let  $f \in F[x]$  be a nonconstant polynomial. Then there exists an extension E/F and an  $\alpha \in E$  such that  $f(\alpha) = 0$ .

**Corollary 80.** Let  $f \in F[x]$ . Then there exists a splitting field of f over F.

**Corollary 81.** Let E/F and K/F be extensions. Let  $f \in F[x]$ . Assume that there exist  $\alpha \in E$  and  $\beta \in K$  such that  $f(\alpha) = 0 = f(\beta)$ . Then  $F(\alpha)$  and  $F(\beta)$  are F-isomorphic.

**Theorem 82.** Let  $F_1$  and  $F_2$  be fields and let  $\sigma: F_1 \to F_2$  be an isomorphism. Let  $f \in F_1[x]$ . Assume that  $E_1$  and  $E_2$  are splitting fields of f and  $\sigma_*(f)$  over  $F_1$  and  $F_2$  respectively. Then there exist an isomorphism  $\tau: E_1 \to E_2$  which extends  $\sigma$ .

**Corollary 83.** Any tow splitting fields of  $f \in F[x]$  are *F*-isomorphic.

**Corollary 84.** Let E/F be a splitting field of some polynomial. Let  $\alpha, \beta \in E$ . Then there exists an *F*-isomorphism of *E* mapping  $\alpha$  to  $\beta$  iff  $m_{\alpha,F} = m_{\beta,F}$ , that is, iff  $\alpha$  and  $\beta$  have the same minimal polynomial over *F*.

**Ex. 85.** Find the splitting fields (in  $\mathbb{C}$ ) of (i)  $(x^4 - 4) \in \mathbb{Q}[x]$  and (ii)  $x^3 - 2 \in \mathbb{Q}[x]$ .

**Definition 86.** An extension E/F is said to be *normal* iff every irreducible polynomial in F[x] that has a root in E splits over E, that is, any polynomial  $f \in F[x]$  that has a root in E has all its roots in E.

**Theorem 87.** An extension E/F is a splitting field of some polynomial  $f \in F[x]$  if the extension E/F is finite and normal.

**Example 88.**  $f(x) = x^p - a$ , p a prime and  $a \neq 0$  over  $\mathbb{Q}[x]$ .

**Example 89.**  $f(x) = x^6 - 1$  over  $\mathbb{Q}$ . We factorize f as

$$f(x) = (x^3 - 1)(x^3 + 1) = (x - 1)(x^2 + x + 1)(x + 1)(x^2 - x + 1).$$

If  $\xi$  is a primitive 3rd root of unity, then

$$f(x) = (x-1)(x-\xi)(x-\xi^2)(x+1)(x+\xi)(x+\xi^2).$$

Thus,  $\mathbb{Q}[\xi]$  is the splitting field of f over  $\mathbb{Q}$ . We have  $|\mathbb{Q}(\xi) : \mathbb{Q}| = 2$ .

Example 90.  $f(x) = x^6 + 1$  over  $\mathbb{Q}$ .

Keeping the notation of the last example. Then the roots are  $\pm i$ ,  $\pm i\xi$ ,  $\pm i\xi^2$ . Hence  $\mathbb{Q}(\xi, i)$  is the splitting field of f over  $\mathbb{Q}$ . Since  $\xi = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ , we find that  $\xi \notin \mathbb{Q}(i)$ . Hence we conclude that  $|\mathbb{Q}(i,\xi):\mathbb{Q}| = 4$ .

**Example 91.**  $f(x) = x^2 + ax + b \in F[x]$ .

**Ex. 92.** Find the splitting fields of the following polynomials over  $\mathbb{Q}$ . Also, find the degrees of the splitting fields over  $\mathbb{Q}$ . (i)  $x^4 - 1$ , (ii)  $(x^2 - 2)(x^2 - 3)$ , (iii)  $x^3 - 3$ , (iv)  $x^3 - 1$ , (v)  $(x^2 - 2)(x^3 - 2)$ .

**Ex. 93.** Find the splitting fields over  $\mathbb{Q}$  of the following polynomials and find their degree over  $\mathbb{Q}$ .

(i) 
$$x^6 - 1$$
, (ii)  $x^6 + 1$  and (iii)  $x^6 - 27$ .

**Ex. 94.** Show that the splitting field of  $x^4 + 3$  over  $\mathbb{Q}$  is  $\mathbb{Q}(i, \alpha\sqrt{2})$ , where  $\alpha = \sqrt[4]{3}$ . What is its degree over Q?

**Ex. 95.** Let E : F be a finite extension which is the splitting field of a set of polynomials in F[x]. Show that E is the splitting field of a single polynomial in f[x].

**Ex. 96.** Let |E:F| = 2. Show that E is the splitting field over F.

**Ex. 97.** Let *E* be a splitting field of  $f(x) \in F[x]$ . Show that any *F*-automorphism of *E* permutes the roots of *f*.

**Ex. 98.** Let  $p \in \mathbb{N}$  be a prime. Show the splitting field of  $x^p - 1$  over  $\mathbb{Q}$  is of degree p - 1.

### 4 Separable Extensions

**Topics:** Formal derivative, An irreducible polynomial over a field of characteristic 0 has only simple roots, An irreducible polynomial f over a field of characteristic p has only multiple roots iff its is of the form  $f(x) = g(x^p)$ . All roots of an irreducible polynomial have the same multiplicity.

Separable polynomial, separable extension, perfect fields, fields of characteristic 0 and finite fields are perfect.

**Definition 99.** Let  $f = a_0 + a_1x + \dots + a_nx^n \in F[x]$ . Then the formal derivative  $Df \in F[x]$  is defined by  $Df = a_1 + 2a_2x + \dots + na_nx^{n-1}$ . Note that  $D: F[x] \to F[x]$  is F-linear.

**Definition 100.** Let  $f \in F[x]$ . An element  $\alpha \in E$  where E/F is an extension field, is said to be *repeated root* if  $(x - \alpha)^2$  is a divisor of f in E[x]. A root of f, which is not a repeated root is called a simple root.

**Proposition 101.** Let  $(x) \in F[x]$  be nonzero. Let E be the splitting filed of f(x). Then the following are equivalent:

(i) f has a repeated root in E.

(ii) There exists  $\alpha \in E$  such that  $f(\alpha) = 0 = (Df)(\alpha)$ .

(iii) There exists a non-constant polynomial  $g \in F[x]$  that divides both f and its derivative Df in F[x].

*Proof.* Let (i) hold. Then there exists  $\alpha \in E$  and  $k \geq 2$  such that  $f(x) = (x - \alpha)^k g(x) \in E[x]$ . Clearly,  $f(\alpha) = 0 = (Df)(\alpha)$ . Hence (ii) is true.

Let (ii) hold. Let  $g := \min(\alpha, F)$ . Since  $f(\alpha) = 0 = (Df)(\alpha)$ , it follows that f and Df lie in the kernel of the evaluation homomorphism  $h(x) \mapsto h(\alpha)$ . Since the kernel is the principal ideal  $(g) \subset F[x]$ , the polynomial g is a common divisor of both f ad Df. That is, (iii) is proved.

Suppose that (iii) holds. Write  $f(x) = g(x)h(x) \in F[x]$ . Since f splits in E, we see that g also splits in E. Let  $\alpha \in E$  be a root of g. We then have  $f(\alpha) = 0$  and  $f(x) = (x - \alpha)h(x)$  for some  $h(x) \in E[x]$ . Now,  $Df(x) = h(x) + (x - \alpha)(Dh)(x)$ . Since g divides both f and Df and since  $(x - \alpha)$  divides g(x), it follows that  $(x - \alpha)$  is a divisor of  $h(x) = Df(x) - (x - \alpha)(Dh)(x)$ , say, $h(x) = (x - \alpha)h_1(x)$ . But then  $f(x) = (x - \alpha)(x - \alpha)h_1(x)$ . Thus,  $\alpha$  is a repeated root of f in E, the splitting field of f(x).

**Proposition 102.** Let  $f(x) \in F[x]$  be irreducible. Then f is not separable iff (i) the characteristic of F is a prime p and (ii)  $f(x) = g(x^p)$ , that is,  $f(x) = a_0 + a_1 x^p + a_2 x^{2p} + \dots + a_n x^{np}$ .

Proof. Assume that f is not separable. Hence there exists a non-constant  $g(x) \in F[x]$  such that g divides f and Df. Since f is irreducible and g|f, we deduce that f and g are associates. Since g and hence f divides Df, a polynomial of degree less than that of f, it follows that Df(x) = 0. But this means that each of the coefficients of Df(x) is zero, say,  $ka_k = 0$ . If  $a_k \neq 0$ , this can happen iff the characteristic of F is p > 0 and k is a multiple of p.  $\Box$ 

**Corollary 103.** An irreducible polynomial over a field F of characteristic 0 has only simple roots. Hence every  $f(x) \in F[x]$  is separable.

**Definition 104.** An irreducible polynomial  $f \in F[x]$  is said to be *separable* over F iff f does not have multiple roots in a splitting field of f.

A polynomial is said to be separable iff each of its irreducible factors is separable over F.

**Corollary 105.** An irreducible polynomial is separable iff Df = 0.

**Definition 106.** An algebraic extension E/F is said to be separable iff the minimal polynomial of each element of E is separable over F.

**Corollary 107.** Let F be a field of characteristic 0. Then every polynomial in F[x] is separable over F and hence every algebraic extension E/F is separable.

**Example 108.** Let Char F = p > 0. Let  $a \in F$  be such that  $f(x) = x^p - a$  has no root in F. We claim that f is an inseparable polynomial. For, if  $\alpha, \beta$  are roost of f(x) in a splitting field, we have  $\alpha^p = a = \beta^p$ . Hence  $(\alpha - \beta)^p = \alpha^p - \beta^p = 0$ . Hence e have  $\alpha = \beta$ . Thus f has only one root, say,  $\alpha$ , with multiplicity p. We now show that f is irreducible. If g is an irreducible factor of f, then  $\gamma(ga) = 0$ . Hence  $g = \min(\alpha, F)$  and so g divides f. Since deg f = p and deg  $g \ge 1$ , it follows that deg = p and hence f = g.

In particular, if E = F(y), where y is transcendental, then  $f(x) = x^p - y \in E[x]$  is irreducible. Any extension K/E in which f has a root will be inseparable.

### 5 Finite Fields

**Lemma 109.** Let F be a field of characteristic p > 0. Then  $(x + y)^p = x^p + y^p$  and  $(xy)^p = x^p y^p$  for all  $x, y \in F$ . In particular,  $x \mapsto x^p$  is an injective field homomorphism of F to itself.

**Theorem 110.** A field E has  $p^n$  elements iff it is a splitting field of the polynomial  $x^{p^n} - x$  over its prime subfield  $\mathbb{Z}_p$ .

**Corollary 111.** There exists a finite field  $GF(p^n)$  of order  $p^n$  for each prime p and  $n \in \mathbb{N}$ . Two finite fields are isomorphic iff they have the same number of elements.

The field  $GF(p^n)$  is called the Galois field of order  $p^n$ . Recall the Euler's function  $\varphi(n)$  defined on  $\mathbb{N}$ :  $\varphi(n)$  is the number of integers m such that 0 < m < n such that m and n are coprime.

**Theorem 112.** Let G be a finite subgroup of  $F^*$ , the multiplicative group of a field F. Then G is cyclic.

In particular, if F is a finite field, then  $F^*$  is cyclic.

*Proof.* Let  $a \in G$  be of maximal order, say, m. Then o(g)|o(a) for any  $g \in G$ . Hence  $g^m = 1$  for every  $g \in G$ . That is, every  $g \in G$  is a root of the polynomial  $x^m - 1$ . This polynomial has at most m roots in F. Hence  $|G| \leq m$ . But  $\{a^k : 1 \leq k \leq m\}$  are m distinct elements. Hence we conclude that  $G = \langle a \rangle$ .

**Theorem 113** (Primitive Element Theorem). Let E/F be a finite separable extension. Then  $E = F(\alpha)$  for some  $\alpha \in E$ . Thus, any finite separable extension is simple.

*Proof.* Let us start with the case when F is infinite. Let  $E = K(\alpha, \beta)$ . Then  $\alpha$  and  $\beta$  are algebraic over F. Let f and g be the minimal polynomials of  $\alpha$  and  $\beta$ . Let K := Split(fg, F) be the splitting field of fg over F. Then f and g split in K. (Why?) Let  $\alpha_1 = \alpha, \alpha_2, \ldots, \alpha_m$  be the roots of f. Let  $\beta_1 = \beta, \beta_2, \ldots, \beta_n$  be the roots of g. Note that the roots of f and g are distinct, since the extension E : F is separable.

Since F is infinite we can find a non-zero  $c \notin \left\{ \frac{\beta - \beta_j}{\alpha - \alpha_i} : 1 \le i \le m, 1 < j \le n \right\}$ . Let  $\theta = \beta - c\alpha$ . We claim that  $E = F(\theta)$ .

Consider  $h(x) = g(c(x - \alpha) + \beta) = g(cx + (\beta - c\alpha)) \in F(\theta)[x]$ . Note that  $f(x) \in F(\theta)[x]$ . We also have  $f(\alpha) = 0$  and  $h(\alpha) = g(\beta) = 0$ . Thus  $\alpha$  is a common root of both f and g in  $F(\theta)$ . Also, for any  $i \neq 1$ ,  $\alpha_i$  is not a root of h. For,  $c(\alpha_i - \alpha) + \beta \neq \beta_j$  for i > 1 and any j, by our choice of c. Hence  $\alpha$  is the only root of h in  $F(\theta)$ . It follows that  $(x - \alpha)$  is the GCD of f(x) and h(x) in the ring  $F(\theta)[x]$ . This means that  $\alpha inF(\theta)$ . But then  $\beta = \theta + c\alpha \in F(\theta)$ . Hence  $E = F(\theta)$ .

The general case, namely when  $E = F(\alpha_1, \ldots, \alpha_n)$  follows by induction.

If F is finite, then E is finite and we know  $E^* = \langle a \rangle$ . Hence E = F(a).

**Remark 114.** The proof, in fact, gives us a method to find  $\theta$ . In the case of characteristic 0, we can choose a non-zero integer m such that m is not of the form  $\frac{\beta - \beta_j}{\alpha - \alpha_i}$ . See the examples below.

Example 115.  $\mathbb{Q}(\sqrt{2}, i) = \mathbb{Q}(\sqrt{2} + i).$ 

**Example 116.**  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}(\sqrt{2} + \sqrt{3}).$ 

**Example 117.**  $\mathbb{Q}(\sqrt{2}, \sqrt{3}, i) = \mathbb{Q}(\sqrt{2} + \sqrt{3} + i).$ 

**Example 118.** Lest that you believe that  $\mathbb{Q}(\alpha, \beta)$  is always  $\mathbb{Q}(\alpha + \beta)$ , we look at another example.  $\mathbb{Q}(\sqrt{2}+i,\sqrt{3}-i) = \mathbb{Q}((\sqrt{3}-i)-(\sqrt{2}+i)).$ 

**Example 119.** Let  $F := \mathbb{Z}_2(t)$  be the field of rational functions over  $\mathbb{Z}_2$ . Consider  $f(x) := x^2 - t$  and  $g(x) = x^2 - (t + t^3)$ . Let  $\alpha$  and  $\beta$  be roots of f and g in a splitting field. We have  $\alpha = t^2$  and  $\beta^2 = t + t^3$ . It is easy to see that f is irreducible over  $F(\beta)$  and g is irreducible over  $F(\alpha)$ . We therefore have  $|F(\alpha, \beta) : F| = 4$ . Let  $\theta \in F(\alpha, \beta)$ . We write it as  $\theta = p(t) + q(t)\alpha + r(t)\beta$ . On squaring, we get

$$\theta^2 = p(t)^2 + q(t)^2 \alpha^2 + r(t)^2 \beta = p(t)^2 + tq(t)^2 + (t+t^2)r(t)^2 \in F(t).$$

In particular,  $|F(\theta): F| \leq 2$  for any  $\theta \in F(\alpha, gb)$ . This shows that we cannot find a primitive element for the extension  $F(\alpha, \beta): F$ .

# 6 Galois Theory

**Topics:** Galois group, Galois Extensions, Fundamental Theorem of Galois Theory.

**Definition 120.** Let E/F be an extension. The set of all automorphisms  $\sigma$  of F that leave F pointwise fixed is a group under composition and it is called the Galois group of E/F. We let Gal(E/F) denote this group.

**Lemma 121.** Let E/F be a finite separable extension. Then  $|\text{Gal}(E/F)| \leq [E:F]$ , that is, the order of the Galois group of E/F is at most the degree of E/F.

**Definition 122.** Let E be a field and let G be a group of automorphisms of E. Then the set

$$E^G := \{ a \in E : \sigma(a) = a \text{ for all } \sigma \in G \}$$

is a subfield of E and is called the fixed field of G.

**Theorem 123.** Let E be a field and G be a group of automorphisms of E. Let  $F := E^G$  be the fixed field of G. Then

(i) E/F is algebraic,

(ii) for each  $\alpha \in E$ , the minimal polynomial  $m_{\alpha}(x) = (x-\alpha_1)\cdots(x-\alpha_k)$  where  $\{ga_1,\ldots,\alpha_k\}$  is the G-orbit of  $\alpha$ , that is, the set  $\{\sigma(\alpha) : \sigma \in G\}$ .

**Definition 124.** An extension E/F is said to be a Galois extension if it is separable and normal.

**Theorem 125.** Let E be a field and G a group of automorphisms of E. Let F be the fixed field of G. Then

(i) E/F is a Galois extension,

(ii) The Galois group of E/G is G,

(iii) We have [E:F] = |Gal(E/)|.

**Theorem 126.** Let E/F be a finite extension and let Gal(E/F) be the Galois group of E/F. Then

(i) |Gal(E/F)| divides [E:F],

(ii) |Gal(E/F)| = [E:F] iff E/F is a Galois extension, in which case F is the fixed field of Gal(E/F).

**Proposition 127.** Let E, F, K be fields such that  $F \subset K \subset E$ . Assume that E/F is Galois. Then E/K is Galois. If K/F is normal, then K/F is also Galois.

Let E/F be an extension and let K be an intermediate field between F and E, that is,  $F \subset K \subset E$ . Let H stand for a subgroup of  $\operatorname{Gal}(E/F)$ . Let  $\mathcal{K}$  denote the set of intermediate fields of E/F and  $\mathcal{H}$ , the set of subgroups of G. Consider the maps

$$\begin{array}{rcl} K & \mapsto & \operatorname{Gal}\left(E/K\right) \\ H & \mapsto & E^{H}. \end{array}$$

The next theorem, the main result of Galois theory related these two maps.

**Theorem 128** (Galois Correspondence). Let E/F be a Galois extension and let Gal(E/F) be its Galois group. The maps from  $\mathcal{K}$  to  $\mathcal{H}$  and vice-versa

$$\begin{array}{rcl} K & \mapsto & \operatorname{Gal}\left(E/K\right) \\ H & \mapsto & E^{H}. \end{array}$$

are inverses of each other.

Furthermore, the extension K/F is normal iff the corresponding subgroup  $\operatorname{Gal}(E/K)$  is normal. In such a case, we have  $\operatorname{Gal}(K/F) \simeq \operatorname{Gal}(E/F)/\operatorname{Gal}(E/K)$ .

# 7 Appendices

#### 7.1 Roth's Paper

The following theorem is by Roth. (AMM Vol 78 Pages 392-393)

**Theorem 129.** Let  $p_1, \ldots, p_n$  be n-distinct positive prime numbers. Let  $F := \mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_n})$ . Let  $q_1, \ldots, q_r$  be distinct primes none of which appear in the list  $\{p_1, \ldots, p_n\}$ . Then  $\sqrt{q_1 \cdots q_r} \notin F$ .

*Proof.* We prove this by induction on n. Let n = 0. Then  $F = \mathbb{Q}$ . If  $q_1, \ldots, q_r$  are distinct primes, then the polynomial  $x^2 - q_1 q_2 \cdots q_r$  is irreducible in  $\mathbb{Q}[x]$  by Eisenstein criterion. Hence  $\sqrt{q_1 \cdots q_r} \notin F$ . One may also adapt the classic proof of the irrationality of  $\sqrt{2}$  to show that  $\sqrt{q_1 \cdots q_r} \notin F$ .

Now assume the result for n-1, n > 1. Let  $F = \mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_n})$ . If we let  $F_0 := \mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_{n-1}})$ , then  $F = F_0(\sqrt{p_n})$ . Since result is true for n-1 and since  $p_n \neq p_j$ ,  $1 \leq j \leq n-1$ , it follows that F is a degree 2 extension of  $F_0$ . Let  $q_1, \ldots, q_r$  be distinct primes none of which lie in  $\{p_1, \ldots, p_n\}$ .

Let, if possible,  $\sqrt{q_1 \cdots q_r} \in F$ . Then we can write  $\sqrt{q_1 \cdots q_r} = a + b\sqrt{p_n}$ , with  $a, b \in F_0$ . We have

$$q_1 \cdots q_r a^2 + b^2 p_n + 2ab\sqrt{p_n}.\tag{1}$$

We consider 3 cases.

(i)  $ab \neq 0$ . Then (1) shows that

$$\sqrt{p_n} = \frac{q_1 \cdots r_q - a^2 - p_n b^2}{2ab} \in F_0.$$

a contradiction.

(ii) b = 0. Then  $\sqrt{q_1 \cdots q_r} = a \in F_0$ , contradiction to the induction hypothesis.

(iii) a = 0. Then  $\sqrt{q_1 \cdots q_r} = b\sqrt{p_n}$ . Therefore,  $\sqrt{q_1 \cdots q_r p_n} = bp_n \in F_0$ . This contradicts the induction hypothesis.

Hence we conclude that the result is true.

**Corollary 130.** If a prime  $q \notin \{p_1, \ldots, p_n\}$ , a set of primes, then  $\sqrt{q} \notin \mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_n})$ .  $\Box$  **Corollary 131.** If  $p_1, \ldots, p_n$  are distinct primes, then  $|\mathbb{Q}(\sqrt{p_1}, \ldots, \sqrt{p_n}) : \mathbb{Q}| = 2^n$ .  $\Box$ **Example 132.** We list some of the typical uses of the result.

- 1.  $|\mathbb{Q}(\sqrt{2}, \sqrt{7}, \sqrt{15}) : \mathbb{Q})| = 8.$
- 2.  $|\mathbb{Q}(\sqrt{14}, \sqrt{15}) : \mathbb{Q})| = 4$ . For,  $\sqrt{3 \cdot 5} \notin \mathbb{Q}(\sqrt{14}) \subset \mathbb{Q}(\sqrt{2}, \sqrt{7})$ .
- 3.  $|\mathbb{Q}(\sqrt{14},\sqrt{6}):\mathbb{Q}|=4$ . For, if  $\sqrt{14} \in \mathbb{Q}(\sqrt{6}) \subset \mathbb{Q}(\sqrt{2},\sqrt{3})$ , then  $\sqrt{7} \in \mathbb{Q}(\sqrt{2},\sqrt{3})$ .

#### 7.2 Cyclotomic Polynomials

The proof below is due to Landau and is taken from an article by Weintraub.

Proof. Let  $f(x) \in \mathbb{Z}[x]$  be irreducible of degree d. Let  $\xi$  be an n-th root of unity such that  $f(\xi) = 0$ . Let  $j \in \mathbb{N}$ . By division algorithm, we have unique polynomials  $q_j(x)$  and  $r_j(x)$  such that  $f(x^j) = q_j(x)f(x) + r_j(x)$  where deg  $r_j < d$ . Observe that the value of  $f(\xi^j)$  depends on the congruence class of j modulo n. Therefore, we have a finite set  $\{r_1(x), \ldots, r_{n-1}(x)\}$  of polynomials such that for any  $j \in \mathbb{Z}$ , we have  $f(\xi^j) = r(\xi)$  for some polynomial r in the this finite set. Also, note<sup>1</sup> that if s is any polynomial of degree less than d such that  $s(\xi^j) = s(\xi)$ , then s(x) = r(x). For, otherwise,  $\xi$  will be a root of the nonzero polynomial s(x) - r(x) of degree less than d, a contradiction.

Let us specialize j. Let j = p be a prime. Then we have  $f(\xi^p) = f(\xi^p) - f(\xi)^p = r(\xi)$  for some r in the finite list above. It is a trivial verification to see that  $f(x^p) \equiv f(x)^p \pmod{p}$ . Therefore, we can write this as  $f(x^p) - f(x)^p = pg(x)$  for some polynomial g. Again, by division algorithm, there is a unique polynomial h of degree less than d such that  $g(\xi) = h(\xi)$ . Thus,  $r(\xi) = p \times g(\xi)$  with deg r(x) < d an deg ph(x) < d. In view of the Note 1, we conclude that  $r(x) = p \times h(x)$ . In particular, each coefficient of r is divisible by p.

Let A be the largest absolute value of the coefficients of all the polynomials r(x) in he finite set. If the prime p > A, the observation that p divides the coefficients of r forces us to conclude that  $r(\xi) = 0$ . That is,  $f(\xi^p) = r(\xi) = 0$  for any prime p > A. As a consequence of this, if m is an integer not divisible by any prime  $p \le A$ , then  $f(\xi^m) = 0$ .

Let  $k \in \mathbb{Z}$  be relatively prime to n. Consider  $m := k + n \prod q$  where q runs through all primes  $p \leq A$  that do NOT divide k.

Let  $p \leq A$  be any prime.

(i) If p divides k, then p does not divide m. For, k and n are relatively prime and p does not divide  $\prod q$ .

(ii) If p does not divide k, then p appears in  $\prod q$  and hence p does not divide m.

We are thus lead to the conclusion that if m is as above,  $m \equiv k \pmod{n}$  and so  $f(\xi^k) = f(\xi^m) = 0$ . That is, if k is relatively prime to n, then  $\xi^k$  is also a root of  $\Phi_n(x)$ . This proves that  $\Phi_n(x)$  is irreducible.

1

Also, have a look at We follow Lorenz in this section. See Theorem 3 on page 89 and and Theorem 3' on page 91 of Lorenz' Algebra Volume 1.

Miles: Galois Theory Notes Pages 86-87 for the Irreducibility of the cyclotomic polynomial  $\Phi_n(x)$ .

This is Landau's proof. A clear detailed exposition is available in Weintraub's article. See Galois Theory folder in Math books.

Schur's proof in the same article is easier and better.

#### 7.3 Dirichlet's Theorem on Primes in Arithmetic Progression

The theorem of the title says that for any two integers n, m with gcd(n, m) = 1, there exist infinitely many primes in the arithmetic progression  $m + nk, k \in \mathbb{Z}$ .

We shall prove a special case of this result when m = 1.

#### 7.4 Abelian Groups as Galois Groups over $\mathbb{Q}$

Cyclotomic polynomials and Dirichlet's theorem on primes in AP of the form 1 + nk. Refer to Fenrick Pages 173–178.

Abelian groups as Galois groups. Refer to Fenrick.