

# Finite Sets

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For  $n \in \mathbb{N}$ , let  $I_n := \{1, 2, \dots, n\}$  be the initial segment.

**Definition 1.** A set  $A$  is said to be finite if either  $A = \emptyset$  or there exists a bijection  $f: A \rightarrow \{1, 2, \dots, n\}$  for some  $n \in \mathbb{N}$ .

**Lemma 2.** If  $m < n$ , there is no one-to-one map of  $I_n$  into  $I_m$ .

*Proof.* Let  $m = 1$  and  $n > 1$ . No map  $f: I_n \rightarrow I_1 = \{1\}$  can be 1-1. For,  $f(1) = f(n) = 1$  and  $n \neq 1$ . Thus the result is true for  $m = 1$ .

Let  $P(m)$  be the statements: *Given  $n > m$ , no map  $f: I_n \rightarrow I_m$  can be 1-1.*

Thus we have seen  $P(1)$  is true. Assume the result  $P(m)$ . Consider  $m + 1$ . Let  $n > m + 1$ . Let  $f: I_n \rightarrow I_{m+1}$  be 1-1. There are two possibilities for  $f(n)$ .

Case 1: Let  $f(n) = m + 1$ . Then consider the map  $g: I_{n-1} \rightarrow I_m$  given by  $g(j) = f(j)$ . Then  $g$  is 1-1 and hence  $I_m$  is not true.

Case 2: Let  $f(n) = r < m + 1$ . Then there is at most one  $1 \leq k < n$  such that  $f(k) = m + 1$ . We define  $g: I_{n-1} \rightarrow I_m$  by setting  $g(j) = f(j)$  for  $j \neq k$  and  $g(k) = r = f(n)$ . Then  $g$  is 1-1 and hence  $P(m)$  is not true.

Thus we conclude that such an  $f$  cannot exist. In other words,  $P(m + 1)$  is also true. By the principle of induction, we conclude that  $P(m)$  is true for all  $m$  and hence the lemma is proved.  $\square$

**Lemma 3.** If  $m < n$ , then there is no onto map  $f: I_m \rightarrow I_n$ .

*Proof.* Let  $f: I_m \rightarrow I_n$  be onto where  $m < n$ . We define  $g: I_n \rightarrow I_m$  as follows: Let  $r \in I_n$ . Let  $i := \min f^{-1}(r)$ . We set  $g(r) = i$ . Then  $g$  is 1-1: If  $g(r) = g(s)$ , then there exists  $k \in I_m$  such that  $f(k) = r, s$ , i.e.,  $f$  is not a function!  $\square$

**Corollary 4.** If  $f: I_m \rightarrow I_n$  is a bijection, then  $m = n$ .

**Definition 5.** A finite set  $A$  is said to have  $n$  elements, if there is a bijection  $f: A \rightarrow I_n$ . Note that in view of the corollary this is well-defined. For any finite set  $A$ , we let  $|A|$  denote the number of elements in  $A$ .

**Lemma 6.** *Let  $f: A \rightarrow I_n$  be 1-1. Then  $A$  is finite, and we have  $|A| \leq n$ .*

*Proof.* Let  $r_1 = \min\{f(a) : a \in A\} \in \mathbb{N}$ ,  $r_2 = \min\{f(A) \setminus \{r_1\}\}$ . Note that  $r_1 \geq 1$  and  $r_2 > r_1$  so that  $r_2 \geq 2$ . We proceed by induction to construct  $r_1 < r_2 < \dots < r_k$  where  $r_k \geq k$ . This process will stop at some stage in the sense that  $f(A) \setminus \{r_j : 1 \leq j \leq k\} = \emptyset$  for some  $k \leq n$ . For, otherwise, if  $k > n$ , then  $r_k \geq k > n$ . This contradicts the fact that  $r_k \in I_n$ . We now construct a bijection  $g: I_k \rightarrow A$  as follows:  $g(i) = a$  where  $f(a) = r_i$ . One easily checks that  $g$  is a bijection.  $\square$

**Corollary 7.** *If  $A$  is finite and  $B \subset A$ , then  $B$  is finite and  $|B| \leq |A|$ .*

*Proof.* Let  $f: A \rightarrow I_n$  be a bijection. Then the composition of the inclusion  $B \hookrightarrow A$  followed by  $f$  is a 1-1 map of  $B$  into  $I_n$ . By Lemma 6, the result follows.  $\square$

**Lemma 8.** *Let  $f: I_n \rightarrow A$  be onto. Then  $A$  is finite and  $|A| \leq n$ .*

*Proof.* Define  $g: A \rightarrow I_n$  by setting  $g(a) = \min f^{-1}(a)$ . Then  $g$  is 1-1 and the result follows from the last corollary.  $\square$

**Proposition 9** (Pigeonhole Principle). *Let  $m, n \in \mathbb{N}$  be such that  $m < n$ . If  $f: I_n \rightarrow I_m$  is a map, then there exists  $i, j \in I_n$  such that  $i \neq j$  and  $f(i) = f(j)$ .*  $\square$